

Notice of References Cited		Application/Control No.	Applicant(s)/Patent Under Reexamination BLOOM ET AL.	
		Examiner Sara Chandler	Art Unit 3693	Page 1 of 1

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*	B	US-2002/0091616	07-2002	Bloom et al.	705/37
*	C	US-7,099,838	08-2006	Gastineau et al.	705/35
*	D	US-6,941,280	09-2005	Gastineau et al.	705/36R
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	V	"Exchange-traded funds not for everyone," by Wilfred L Dellva. Journal of Financial Planning. Denver: Apr. 2001. Vol. 14, Iss. 4.
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.